



UNIVERSITY OF COLORADO HEALTH SCIENCES CENTER

ANNUAL REPORT

SPONSORED PROGRAMS AND OTHER RESTRICTED FUND ACTIVITY

FISCAL YEAR 2003

PREPARED BY: GRANTS AND CONTRACTS

Sponsored Programs and Other Restricted Fund Activity at the University of Colorado Health Sciences Center

The research mission of the University of Colorado Health Sciences Center (UCHSC) is to advance existing knowledge, develop new knowledge for the prevention and treatment of human disease, and improve human health. With the enclosed report, we are communicating the successes UCHSC has obtained in bringing funding to the State of Colorado, and we are highlighting more than 1500 research projects that have the potential to improve the lives of the people of Colorado primarily through research and education.

The achievements for UCHSC of the last year are extremely encouraging. In Fiscal Year 2003, sponsored awards reached \$286 million. Restricted fund expenditure activity exceeded \$283 million. The faculty's initiative and financial successes in all our schools are described further in this Annual Report.

With the move to Fitzsimons, we have a unique opportunity. As the UCHSC increases its state-of-the-art research space and gains increasing access to cutting-edge technology, the strength of the faculty will be spotlighted further. We look forward to working with the University community to continue making the vision of Fitzsimons a reality.

UCHSC is fortunate to be part of a four-campus system of the University of Colorado (CU). We also look forward to the next few years as we create a University without walls toward CU President Hoffman's goals for 2010. We take one step in that process as we study the potential merger of UCHSC with the CU-Denver campus. One exciting outcome may be the creation of a second "Research I" institution¹ within CU.

Our deepest appreciation is extended to all members of the Health Sciences Center community, who are responsible for our extraordinary success as an institution.

John R. Sladek, Ph.D.
Vice Chancellor for Research

Twila Fisher Reighley, M.B.A.
Director, Grants and Contracts

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This report is also available at <http://www.uchsc.edu/ogc/index.html>.

¹ Research I is a former category equivalent to "Doctoral/Research Universities – Extensive" under the classification by the Carnegie Foundation for the Advancement of Teaching.

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OVERVIEW OF FISCAL YEAR 2003 ACTIVITIES

Introduction

The faculty's initiative and success are described in this Annual Report, which includes a summary of the proposal, award, and expenditure activity for Fiscal Year (FY) 2003. Please note that award data includes Fitzsimons construction funding, but it does not include gift and financial aid funding. On the other hand, expenditure data usually includes gift and financial aid funding (unless noted otherwise), but does not include construction expenditures.

Proposal Activity

During FY 2003, over 2,442 proposals were submitted to obtain sponsored program funding for the Health Sciences Center, which is a 10% increase over FY 2002. Total requests equaled \$481.3 million, 67% more than requested five years ago (in FY 1998). Of the total number of proposals submitted for funding, 76% of proposal volume was for research activities, equaling 85% of proposal dollars requested.

Award Activity

During FY 2003, the total number of awards received for sponsored programs was 1,524, totaling \$286.1 million in awards. This was approximately \$8.5 million less than the prior fiscal year's awards, equaling a 2.9% decrease (and a 71% increase from five years ago). Federal awards showed a 6% increase from the last fiscal year. Two non-federal awards totaling \$9.3 million for clinical work that had previously been administered by UCHSC were awarded to University Physicians, Inc (UPI) for FY 2003 (UPI is the UCHSC School of Medicine faculty practice plan). Had these awards stayed with the UCHSC, awards overall would have been up slightly over FY 2002.

Another reason the award amount is lower this year relates to the awards for Fitzsimons construction, which totaled \$5.7 million. Last year, awards for Fitzsimons were \$15 million. After factoring out UPI and Fitzsimons awards, the net increase in awards was 4%.

Approximately 76% of the total awards were received from the Federal government. Over 95% of Federal dollars awarded were from the Department of Health and Human Services (DHHS). Of all DHHS funding, over 88% came from the National Institutes of Health (NIH). Over 65% of NIH funding is distributed among five institutes, with the highest level of funding from the National Institute of Diabetes and Digestive and Kidney Diseases.

Expenditure Activity

At the end of FY 2003, expenditures in the Restricted Fund totaled more than \$283 million. The restricted fund includes sponsored programs, gifts, and student aid. Total expenditures increased by more than 10% over FY 2002. Sponsored program expenditures exceeded \$256 million and were more than 90% of total Restricted Fund expenditures. For sponsored program expenditures, this was an increase of almost 10% over the prior fiscal year and an increase of over 63% from the expenditure level in FY 1998. Expenditures under sub-federal and non-federal industry awards amounted to \$26.9 million, a 22% increase over FY 2002.

Although award activity includes awards for Fitzsimons construction, expenditure activity of about \$8.1 million was reported in the Plant Fund and thus not included in the restricted fund expenditure data. Therefore, tables and charts shown in this report do not reflect Plant Fund expenditure activity. If this data is also included, sponsored expenditures show an increase in expenditure levels over five years earlier of almost 70%.

In reviewing sponsored project expenditures for FY 2003, 48% of all expenditures related to salaries, wages, and related fringe benefits. Only 21% of expenditures were allocated to Facilities and Administrative Cost Recovery.

Activity by Unit

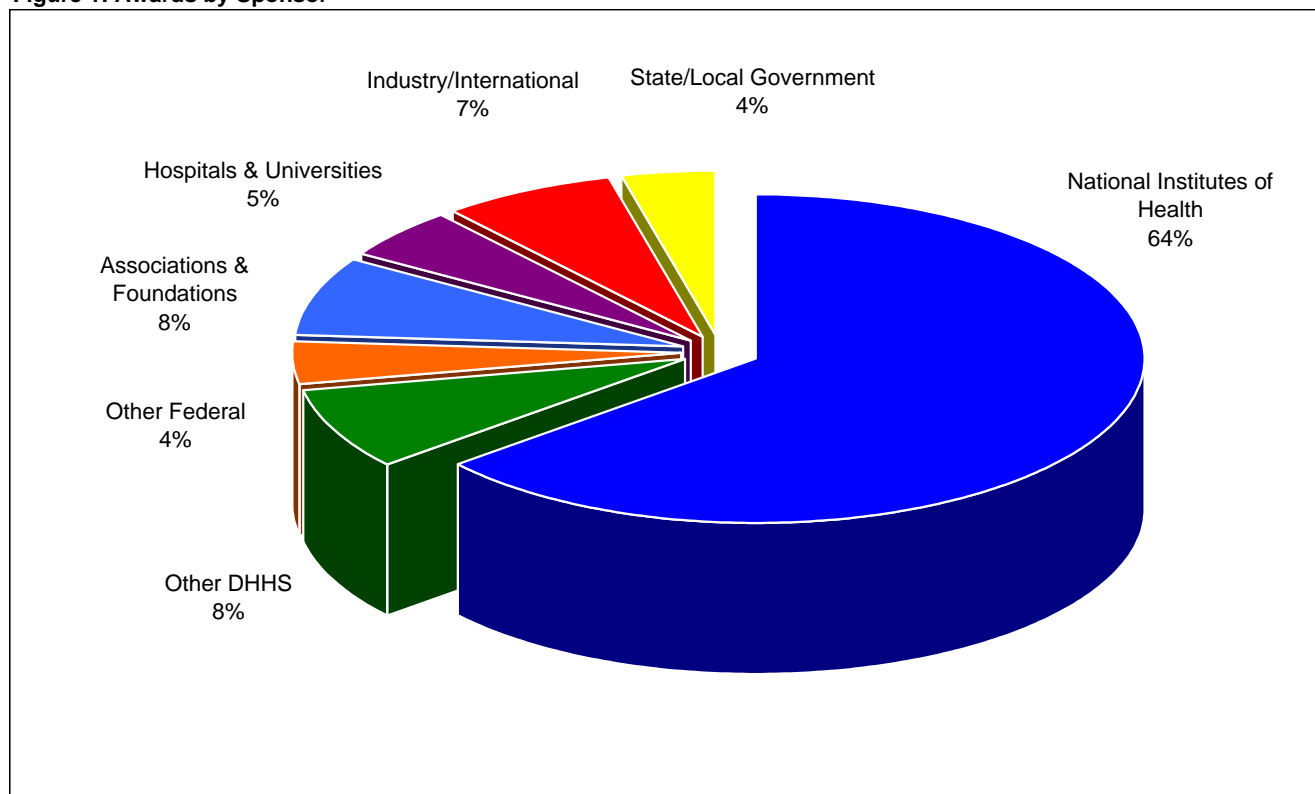
The schools increases in expenditures in FY 2003, over FY 2002 are as follows: Dentistry 80%, Nursing 23%, Pharmacy 32%, and Medicine 7%. The two largest departments for sponsored funding in School of Medicine are the Department of Medicine and Pediatrics. Department of Medicine showed an increase in awards of 15% over the prior fiscal year, while UCHSC Pediatrics awards increased by 21% over last fiscal year.

Section 1: Awards²

AWARDS BY SPONSOR

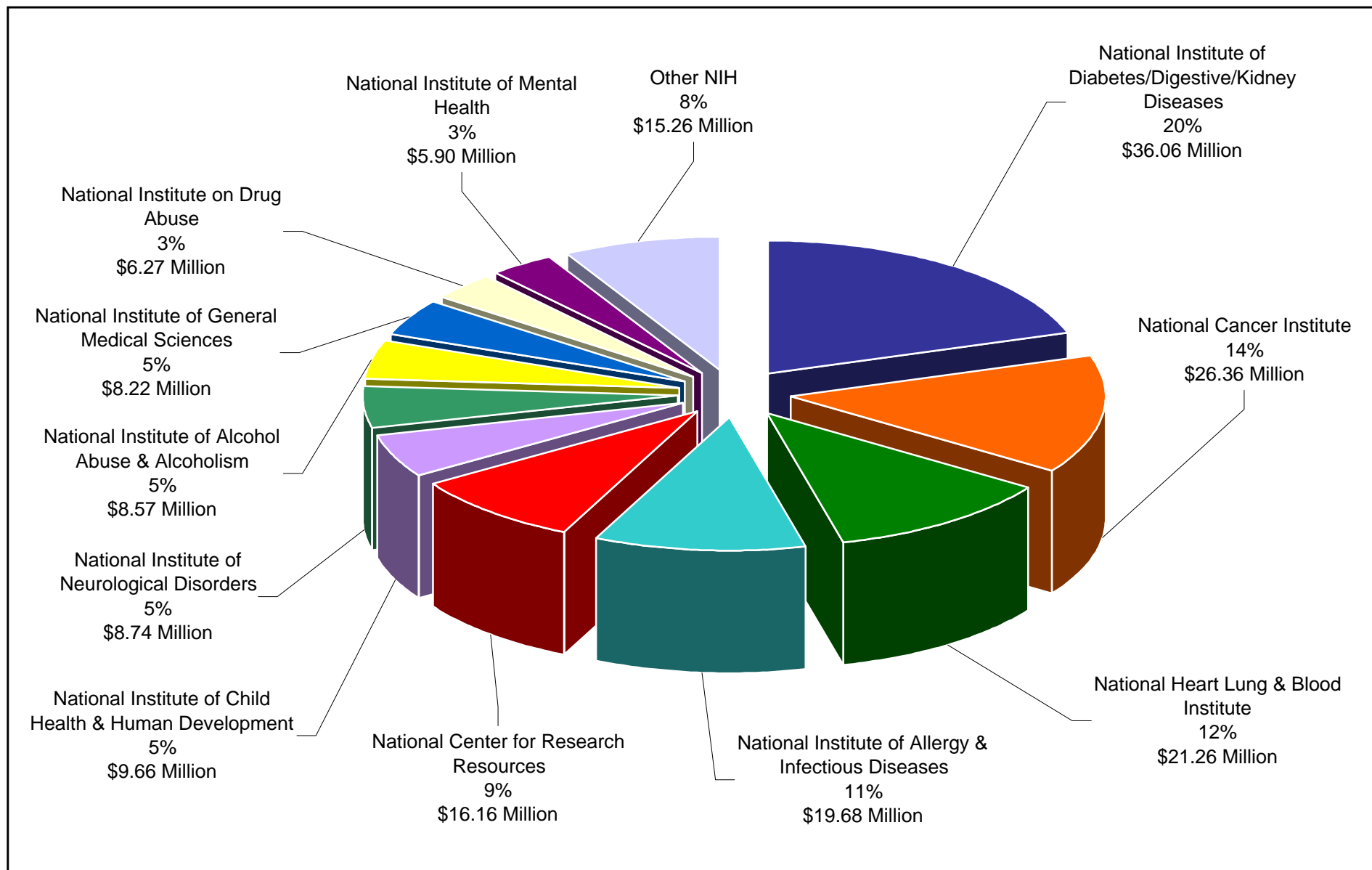
Sponsor	Number of Awards	Direct Costs	Facilities and Administrative (F&A) Costs	Total Costs	Percent
Federal					
Department of Health and Human Services (DHHS)					
National Institutes of Health	566	\$136,170,000	\$45,970,000	\$182,140,000	64%
Other	60	\$21,160,000	\$3,070,000	\$24,230,000	8%
Total DHHS	626	\$157,330,000	\$49,040,000	\$206,370,000	72%
Federal Other Than DHHS					
Department of Defense	12	\$1,560,000	\$510,000	\$2,070,000	1%
Department of Education	4	\$1,010,000	\$150,000	\$1,160,000	1%
Department of Interior	1	\$390,000	\$0	\$390,000	0%
National Science Foundation	5	\$350,000	\$170,000	\$520,000	0%
Veterans Administration	39	\$5,300,000	\$160,000	\$5,460,000	2%
Other Federal	4	\$890,000	\$120,000	\$1,010,000	0%
Total Non-DHHS	65	\$9,500,000	\$1,110,000	\$10,610,000	4%
Total Federal	691	\$166,830,000	\$50,150,000	\$216,980,000	76%
Non-Federal or Sub-Federal					
Associations & Foundations	219	\$19,870,000	\$2,690,000	\$22,560,000	8%
Hospitals & Universities	165	\$10,560,000	\$3,190,000	\$13,750,000	5%
Industry	239	\$16,860,000	\$3,460,000	\$20,320,000	7%
International	8	\$330,000	\$60,000	\$390,000	0%
State/Local Government	202	\$10,760,000	\$1,370,000	\$12,130,000	4%
Total Non-Federal	833	\$58,380,000	\$10,770,000	\$69,150,000	24%
Grand Total	1524	\$225,210,000	\$60,920,000	\$286,130,000	100%

Figure 1: Awards by Sponsor



DISTRIBUTION OF AWARDS FROM THE NATIONAL INSTITUTES OF HEALTH

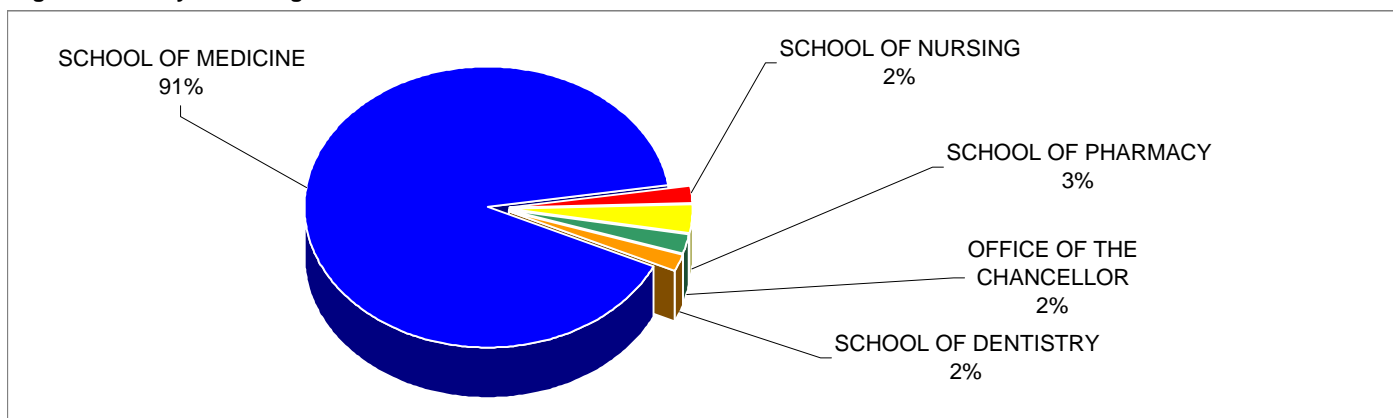
Figure 2



AWARDS BY ORGANIZATIONAL UNIT

ORGANIZATIONAL UNIT	Direct Costs	F&A Costs	Total	Percent
OFFICE OF THE CHANCELLOR	\$6,180,000	\$140,000	\$6,320,000 ³	2%
SCHOOL OF DENTISTRY	\$4,560,000	\$740,000	\$5,300,000	2%
SCHOOL OF MEDICINE	\$202,530,000	\$56,570,000	\$259,100,000	91%
SCHOOL OF NURSING	\$4,800,000	\$1,030,000	\$5,830,000	2%
SCHOOL OF PHARMACY	\$7,140,000	\$2,440,000	\$9,580,000	3%
GRAND TOTAL	\$225,210,000	\$60,920,000	\$286,130,000	100%

Figure 3: Unit by Percentage of Awards



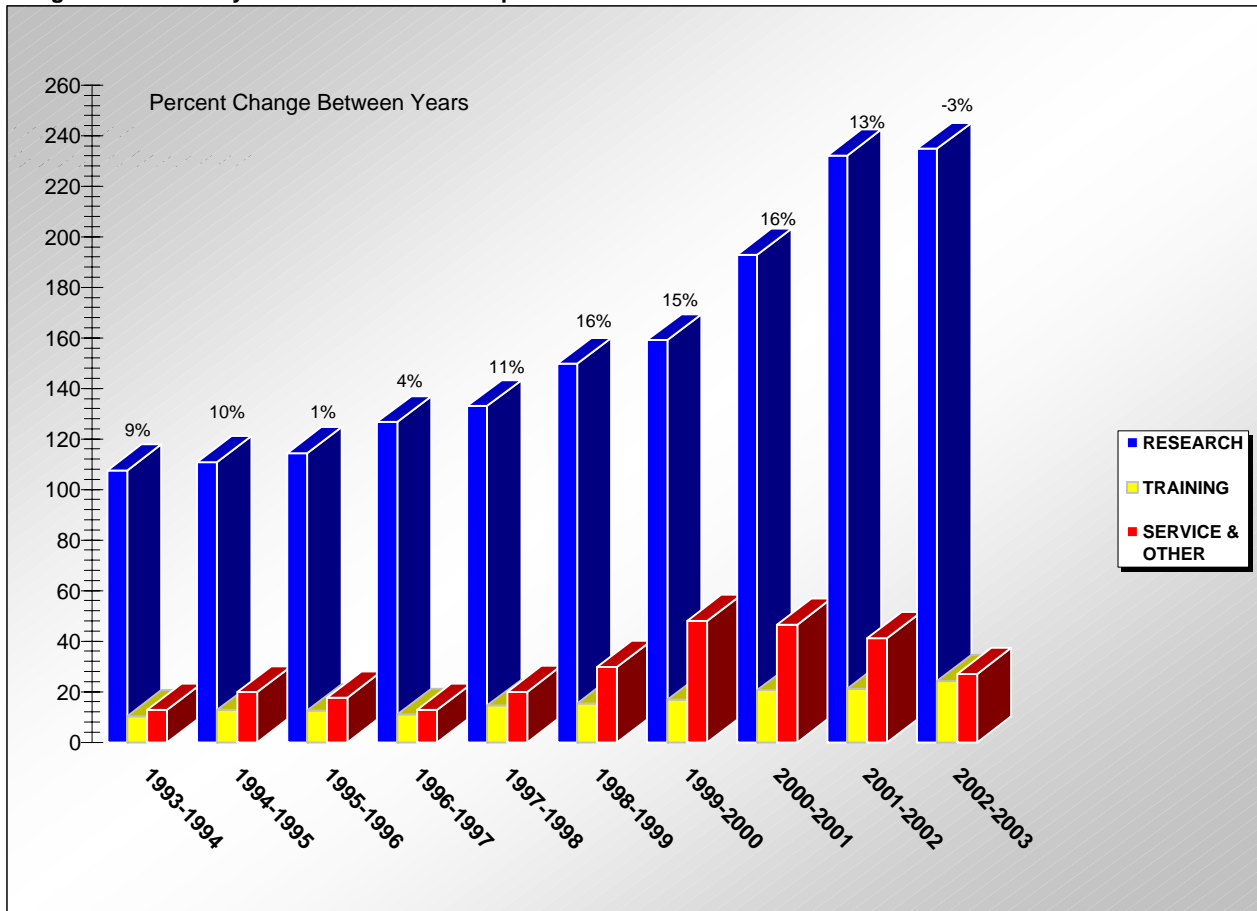
SCHOOL OF MEDICINE AWARDS BY UNIT OVER ONE MILLION

ORGANIZATIONAL UNIT	Direct Costs	F&A	TOTAL
SCHOOL OF MEDICINE			
Medicine	\$54,000,000	\$15,250,000	\$69,250,000
Pediatrics	\$32,910,000	\$7,820,000	\$40,730,000
Psychiatry	\$25,260,000	\$4,680,000	\$29,940,000
Cancer Center	\$11,880,000	\$4,410,000	\$16,290,000
Barbara Davis Center	\$10,990,000	\$3,710,000	\$14,700,000
Pharmacology	\$9,180,000	\$3,910,000	\$13,090,000
Preventative Medicine	\$8,980,000	\$2,700,000	\$11,680,000
Biochemistry/Biophysics/Genetics	\$5,170,000	\$2,140,000	\$7,310,000
Pathology	\$4,900,000	\$2,040,000	\$6,940,000
Cellular & Structural Biology	\$3,460,000	\$1,480,000	\$4,940,000
Physiology	\$3,540,000	\$1,210,000	\$4,750,000
Immunology	\$3,490,000	\$1,150,000	\$4,640,000
Microbiology	\$2,880,000	\$1,240,000	\$4,120,000
Neurology	\$3,000,000	\$1,090,000	\$4,090,000
Surgery	\$2,510,000	\$690,000	\$3,200,000
Family Medicine	\$2,490,000	\$350,000	\$2,840,000
Anesthesiology	\$2,420,000	\$230,000	\$2,650,000
Obstetrics/Gynecology	\$1,380,000	\$250,000	\$1,630,000
Rehabilitation Medicine	\$1,380,000	\$220,000	\$1,600,000
Colorado Health Outcomes Program	\$1,200,000	\$320,000	\$1,520,000
Dermatology	\$970,000	\$150,000	\$1,120,000
Radiology	\$770,000	\$340,000	\$1,110,000
Webb-Waring Institute	\$760,000	\$280,000	\$1,040,000
GRAND TOTAL	\$ 193,520,000	\$ 55,660,000	\$ 249,180,000

AWARDS BY FUNCTION 10 YEAR COMPARISON

FISCAL YEAR	TOTAL		RESEARCH		TRAINING		SERVICE & OTHER	
	Number	Amount	Number	Amount	Number	Amount	Number	Amount
1993-1994	972	\$130,670,000	685	\$107,550,000	128	\$10,300,000	159	\$12,820,000
1994-1995	1,013	\$143,330,000	665	\$110,850,000	137	\$12,670,000	211	\$19,810,000
1995-1996	1,054	\$144,720,000	730	\$114,440,000	137	\$12,630,000	187	\$17,650,000
1996-1997	1,139	\$150,550,000	796	\$126,710,000	125	\$10,940,000	218	\$12,900,000
1997-1998	1,125	\$167,450,000	799	\$133,010,000	143	\$14,450,000	183	\$19,990,000
1998-1999	1,311	\$194,970,000	906	\$149,720,000	171	\$15,420,000	234	\$29,830,000
1999-2000	1,321	\$223,980,000	864	\$159,160,000	187	\$16,800,000	270	\$48,020,000
2000-2001	1,479	\$260,070,000	1,014	\$192,920,000	239	\$20,590,000	226	\$46,560,000
2001-2002	1,444	\$294,630,000	1,035	\$232,250,000	198	\$21,200,000	211	\$41,180,000
2002-2003	1,524	\$286,130,000	1,086	\$234,830,000	215	\$24,260,000	223	\$27,040,000

Figure 4: Awards by Function - 10 Year Comparison

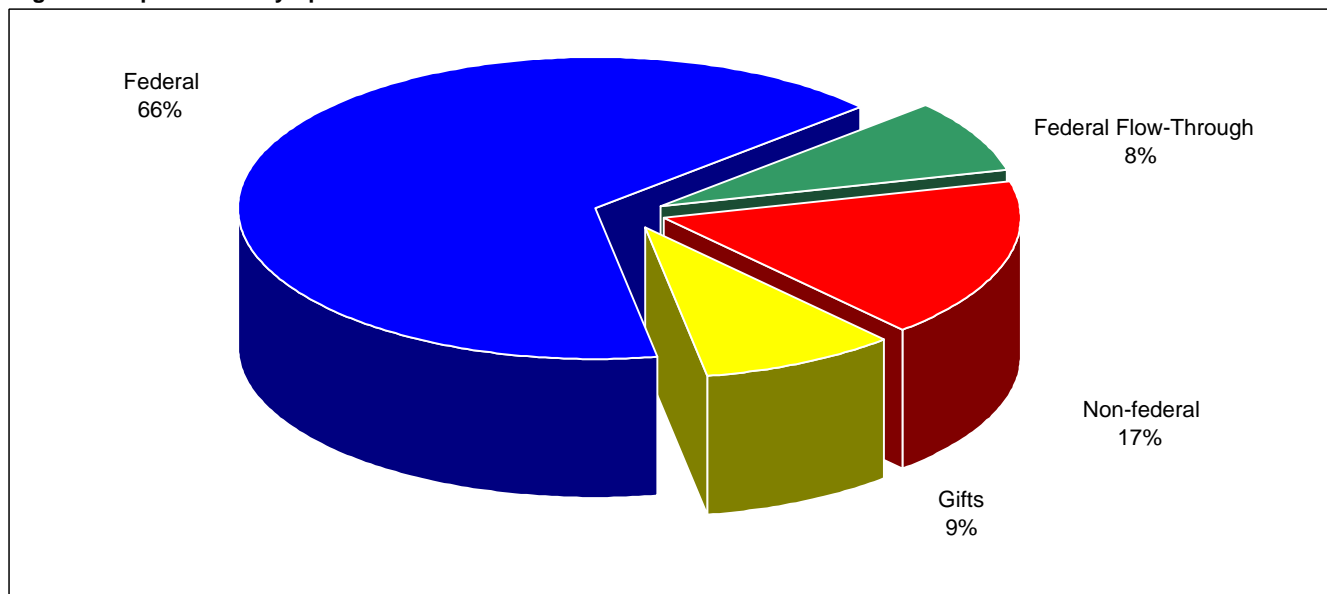


Section 2: Expenditures⁴

EXPENDITURES BY SPONSOR

Sponsor	Number of Projects ⁶	Direct Costs	Recovery of F&A Costs	Total Costs	Percent
Federal					
Department of Health and Human Services (DHHS)					
National Institutes of Health	1228	\$115,150,000	\$38,990,000	\$154,140,000	54%
Other	175	\$17,090,000	\$3,040,000	\$20,130,000	7%
Total DHHS	1403	\$132,240,000	\$42,030,000	\$174,270,000	61%
Federal Other Than DHHS					
Department of Defense	41	\$1,920,000	\$650,000	\$2,570,000	1%
Department of Education	25	\$1,460,000	\$180,000	\$1,640,000	1%
National Science Foundation	16	\$760,000	\$310,000	\$1,070,000	0%
Veterans Administration	48	\$2,840,000	\$0	\$2,840,000	1%
Other Federal	28	\$4,410,000	\$210,000	\$4,620,000	2%
Total Non-DHHS	158	\$11,390,000	\$1,350,000	\$12,740,000	5%
Federal Flow Through ⁵					
Associations & Foundations	37	\$2,040,000	\$790,000	\$2,830,000	1%
Hospitals & Universities	172	\$4,810,000	\$1,750,000	\$6,560,000	2%
Industry	107	\$6,380,000	\$1,790,000	\$8,170,000	3%
State/Local Government	82	\$4,310,000	\$660,000	\$4,970,000	2%
Total Federal Flow Through	398	\$17,540,000	\$4,990,000	\$22,530,000	8%
Total Federal	1959	\$161,170,000	\$48,370,000	\$209,540,000	74%
Non-Federal					
Associations & Foundations	494	\$14,820,000	\$1,040,000	\$15,860,000	6%
Gifts From All Donors ⁷	677	\$24,560,000	\$0	\$24,560,000	9%
Hospitals & Universities	108	\$3,410,000	\$190,000	\$3,600,000	1%
Industry	771	\$16,170,000	\$2,560,000	\$18,730,000	6%
International	22	\$200,000	\$40,000	\$240,000	0%
State/Local Government	195	\$9,910,000	\$700,000	\$10,610,000	4%
Total Non-Federal	2267	\$69,070,000	\$4,530,000	\$73,600,000	26%
Grand Total	4226	\$230,240,000	\$52,900,000	\$283,140,000	100%

Figure 5: Expenditures by Sponsor



**ORGANIZATIONAL UNIT EXPENDITURES BY
SPONSORED PROGRAM AND OTHER RESTRICTED FUND ACTIVITY**

<u>ORGANIZATIONAL UNIT</u>	<u>SPONSORED PROGRAM</u>		<u>OTHER RESTRICTED FUNDS</u>	<u>Total</u>
	<u>Direct Costs</u>	<u>F&A Costs</u>	<u>Direct Costs</u>	
OFFICE OF THE CHANCELLOR	\$4,900,000	\$60,000	\$4,170,000	\$9,130,000
SCHOOL OF DENTISTRY	\$2,600,000	\$800,000	\$550,000	\$3,950,000
SCHOOL OF MEDICINE	\$180,340,000	\$48,420,000	\$21,110,000	\$249,870,000
SCHOOL OF NURSING	\$5,800,000	\$1,040,000	\$250,000	\$7,090,000
SCHOOL OF PHARMACY	\$9,800,000	\$2,570,000	\$730,000	\$13,100,000
GRAND TOTAL	\$203,440,000	\$52,890,000	\$26,810,000	\$283,140,000

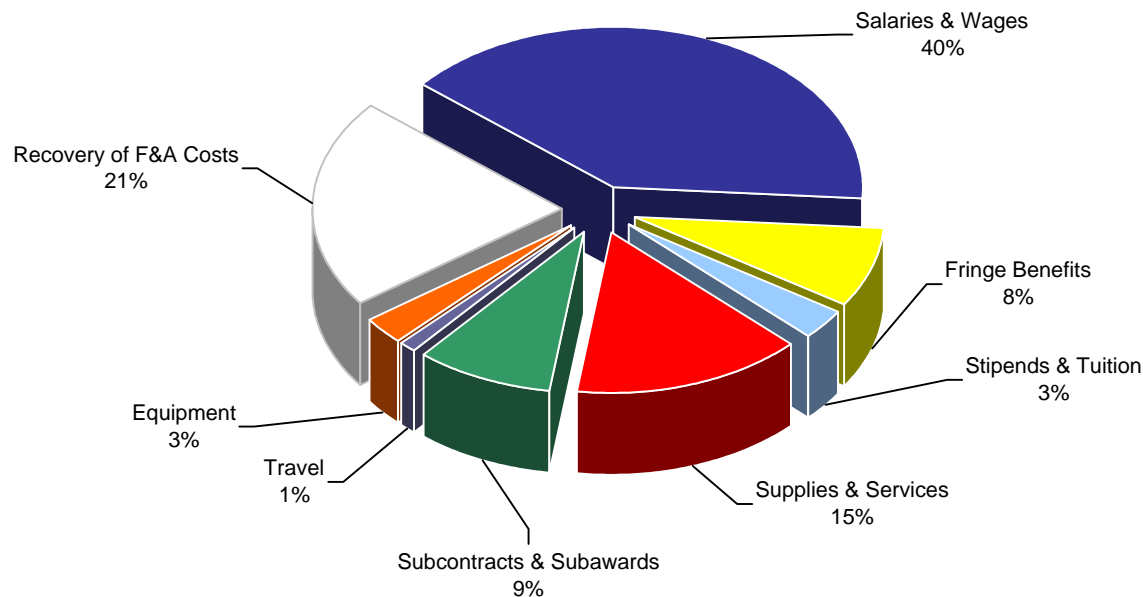
SCHOOL OF MEDICINE UNIT EXPENDITURES OVER FOUR MILLION

<u>ORGANIZATIONAL UNIT</u>	<u>SPONSORED PROGRAM</u>		<u>OTHER RESTRICTED FUNDS</u>	<u>Total</u>
	<u>Direct Costs</u>	<u>F&A Costs</u>	<u>Direct Costs</u>	
SCHOOL OF MEDICINE				
Medicine	\$45,450,000	\$12,420,000	\$5,460,000	\$63,330,000
Psychiatry	\$29,350,000	\$4,650,000	\$510,000	\$34,510,000
Pediatrics	\$25,880,000	\$6,220,000	\$550,000	\$32,650,000
Cancer Center	\$9,290,000	\$3,850,000	\$1,530,000	\$14,670,000
Barbara Davis Center	\$9,180,000	\$2,800,000	\$2,140,000	\$14,120,000
Pharmacology	\$8,920,000	\$3,330,000	\$580,000	\$12,830,000
Dean's Office	\$8,880,000	\$810,000	\$1,930,000	\$11,620,000
Preventive Medicine	\$6,720,000	\$2,230,000	\$380,000	\$9,330,000
Cellular & Structural Biology	\$4,810,000	\$1,900,000	\$630,000	\$7,340,000
Biochem/Biophysics/Genetics	\$4,310,000	\$1,710,000	\$650,000	\$6,670,000
Pathology	\$4,020,000	\$1,760,000	\$370,000	\$6,150,000
Microbiology	\$2,580,000	\$1,170,000	\$480,000	\$4,230,000
Physiology	\$2,620,000	\$940,000	\$560,000	\$4,120,000
Total of Unit Expenditures Over Four Million	\$162,010,000	\$43,790,000	\$15,770,000	\$221,570,000

SPONSORED PROJECT EXPENDITURES BY COST CATEGORY

Cost Category	Total ⁸	Percentage	Federal		
			Federal	Flow-Through ⁹	Non-Federal
Salaries & Wages	\$101,630,000	40%	\$70,550,000	\$10,120,000	\$20,960,000
Fringe Benefits	\$19,920,000	8%	\$13,920,000	\$1,950,000	\$4,050,000
Stipends & Tuition	\$8,300,000	3%	\$7,030,000	\$30,000	\$1,240,000
Supplies & Services	\$38,390,000	15%	\$22,100,000	\$2,890,000	\$13,400,000
Subcontracts & Subawards	\$24,180,000	9%	\$21,130,000	\$1,010,000	\$2,040,000
Travel	\$3,370,000	1%	\$2,440,000	\$320,000	\$610,000
Equipment	\$7,640,000	3%	\$5,770,000	\$300,000	\$1,570,000
Recovery of F&A Costs	\$52,900,000	21%	\$43,380,000	\$4,810,000	\$4,710,000
Total	\$256,330,000	100%	\$186,320,000	\$21,430,000	\$48,580,000
Source by Percent	100%		73%	8%	19%

Figure 6: Sponsored Project Expenditures by Cost Category

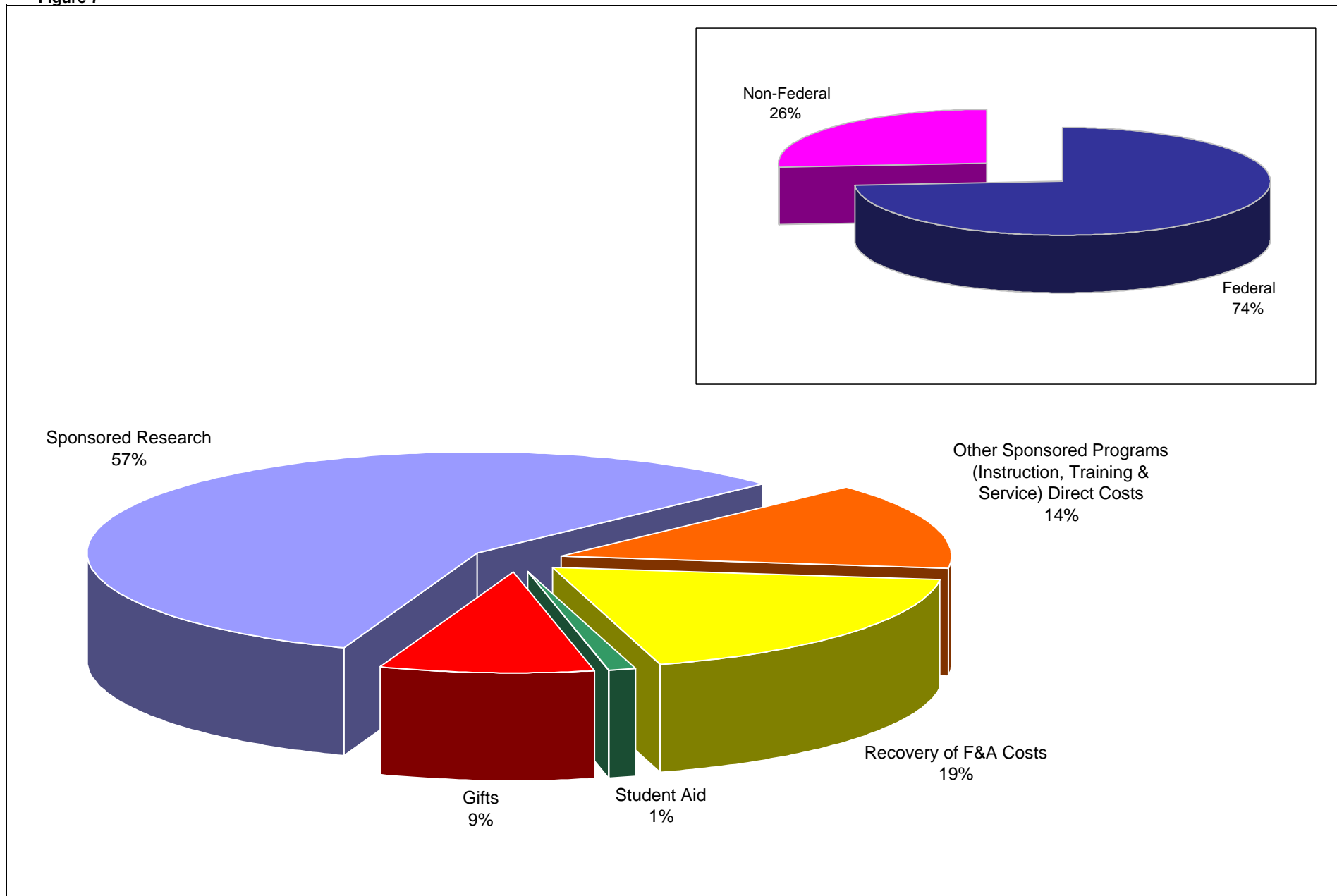


10-YEAR COMPARISON OF EXPENDITURES BY SPONSORED PROGRAM, STUDENT AID, AND GIFT ACTIVITY

FUNDING SOURCE BY TYPE	1993-1994	1994-1995	1995-1996	1996-1997	1997-1998	1998-1999	1999-2000	2000-2001	2001-2002	2002-2003
SPONSORED RESEARCH Direct Costs (DC):										
Federal	\$52,090,000	\$58,360,000	\$60,880,000	\$66,730,000	\$71,560,000	\$81,780,000	\$88,670,000	\$98,130,000	\$118,670,000	\$136,320,000
Non-Federal	\$12,010,000	\$13,630,000	\$15,640,000	\$16,170,000	\$18,690,000	\$19,110,000	\$19,390,000	\$19,590,000	\$24,650,000	\$28,730,000 ¹⁴
Sponsored Research DC Subtotal:	\$64,100,000	\$71,990,000	\$76,520,000	\$82,900,000	\$90,250,000	\$100,890,000	\$108,060,000	\$117,720,000	\$143,320,000	\$165,050,000
OTHER SPONSORED PROGRAMS DC (Instruction, Training & Service):										
Federal	\$18,150,000	\$20,310,000	\$17,910,000 ¹¹	\$15,790,000	\$16,440,000	\$21,180,000	\$17,390,000	\$16,910,000	\$20,610,000	\$24,160,000
Non-Federal	\$9,440,000	\$9,900,000	\$14,550,000 ¹¹	\$17,230,000	\$21,400,000	\$16,960,000	\$21,460,000	\$20,860,000	\$23,000,000	\$14,220,000 ¹⁴
Other Sponsored Programs DC Subtotal:	\$27,590,000	\$30,210,000	\$32,460,000	\$33,020,000	\$37,840,000	\$38,140,000	\$38,850,000	\$37,770,000	\$43,610,000	\$38,380,000
RECOVERY OF F&A COSTS										
Federal	\$19,880,000	\$22,290,000	\$22,670,000	\$23,900,000	\$25,720,000	\$28,340,000	\$31,630,000	\$35,030,000	\$41,920,000	\$48,380,000 ¹²
Non-Federal	\$1,920,000	\$220,000	\$2,810,000	\$2,910,000	\$3,350,000	\$3,780,000	\$3,880,000	\$4,670,000	\$5,020,000	\$4,520,000
Recovery of F&A Subtotal:	\$21,800,000	\$22,510,000	\$25,480,000	\$26,810,000	\$29,070,000	\$32,120,000	\$35,510,000	\$39,700,000	\$46,940,000	\$52,900,000
Sponsored Program Subtotal:	\$113,500,000	\$124,720,000	\$134,460,000	\$142,730,000	\$157,160,000	\$171,140,000	\$182,420,000	\$195,190,000	\$233,870,000	\$256,330,000
GIFTS (Non-Federal)	\$11,950,000	\$10,720,000	\$11,280,000	\$11,200,000	\$12,170,000	\$12,850,000	\$16,800,000	\$20,870,000	\$20,270,000	\$24,560,000¹⁵
STUDENT AID¹³										
Federal	\$720,000	\$720,000	\$800,000	\$800,000	\$1,280,000	\$1,060,000	\$920,000	\$830,000	\$970,000	\$690,000 ¹⁰
Non-Federal	\$1,090,000	\$1,400,000	\$1,390,000	\$1,490,000	\$1,330,000	\$1,750,000	\$1,370,000	\$1,160,000	\$1,070,000	\$1,560,000 ¹⁴
Student Aid Subtotal:	\$1,810,000	\$2,120,000	\$2,190,000	\$2,290,000	\$2,610,000	\$2,810,000	\$2,290,000	\$1,990,000	\$2,040,000	\$2,250,000
GRAND TOTAL OF EXPENDITURES:	\$127,260,000	\$137,560,000	\$147,930,000	\$156,220,000	\$171,940,000	\$186,800,000	\$201,510,000	\$218,050,000	\$256,180,000	\$283,140,000
Percentage Increase from Prior Fiscal Year:	11%	8%	8%	6%	10%	9%	8%	8%	17%	10.5%

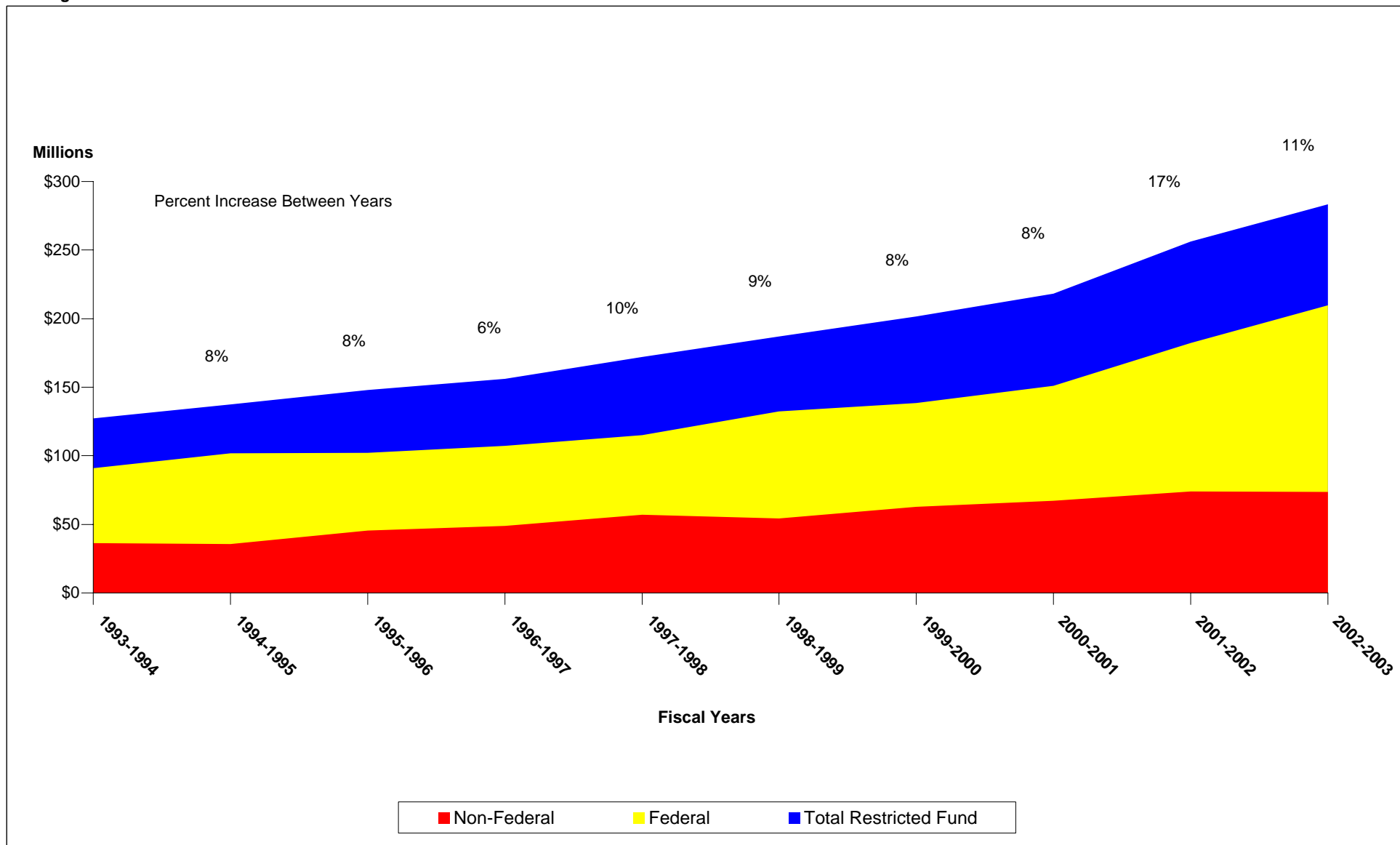
EXPENDITURES BY FUNDING SOURCE AND TYPE ¹⁶

Figure 7



10 YEAR COMPARISON OF TOTAL, FEDERAL, AND NON-FEDERAL EXPENDITURES FOR SPONSORED PROGRAM, STUDENT AID, AND GIFT ACTIVITY ¹⁷

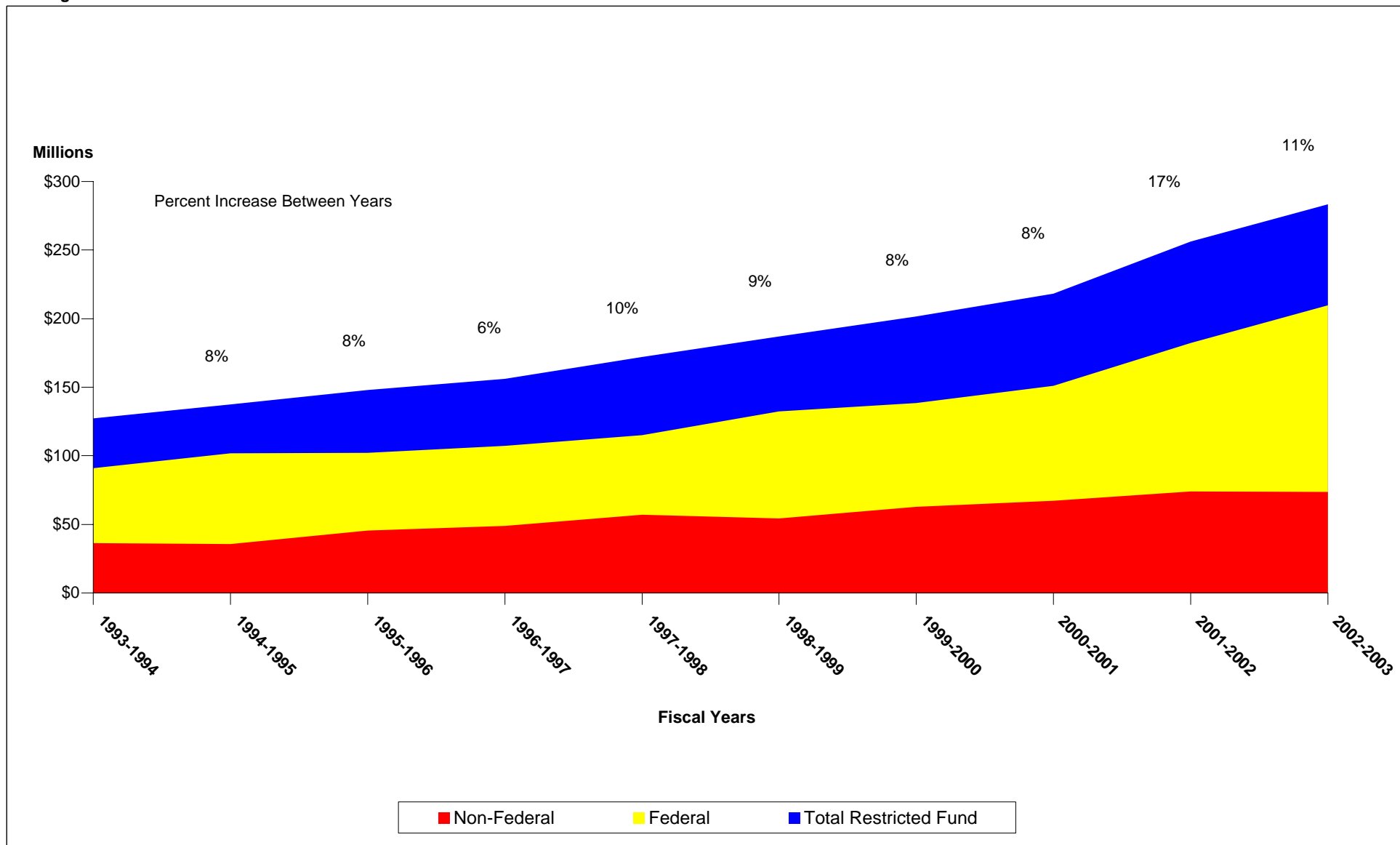
Figure 8



Section 3: Additional Information

10 YEAR COMPARISON OF TOTAL, FEDERAL, AND NON-FEDERAL EXPENDITURES FOR SPONSORED PROGRAM, STUDENT AID, AND GIFT ACTIVITY ¹⁷

Figure 8

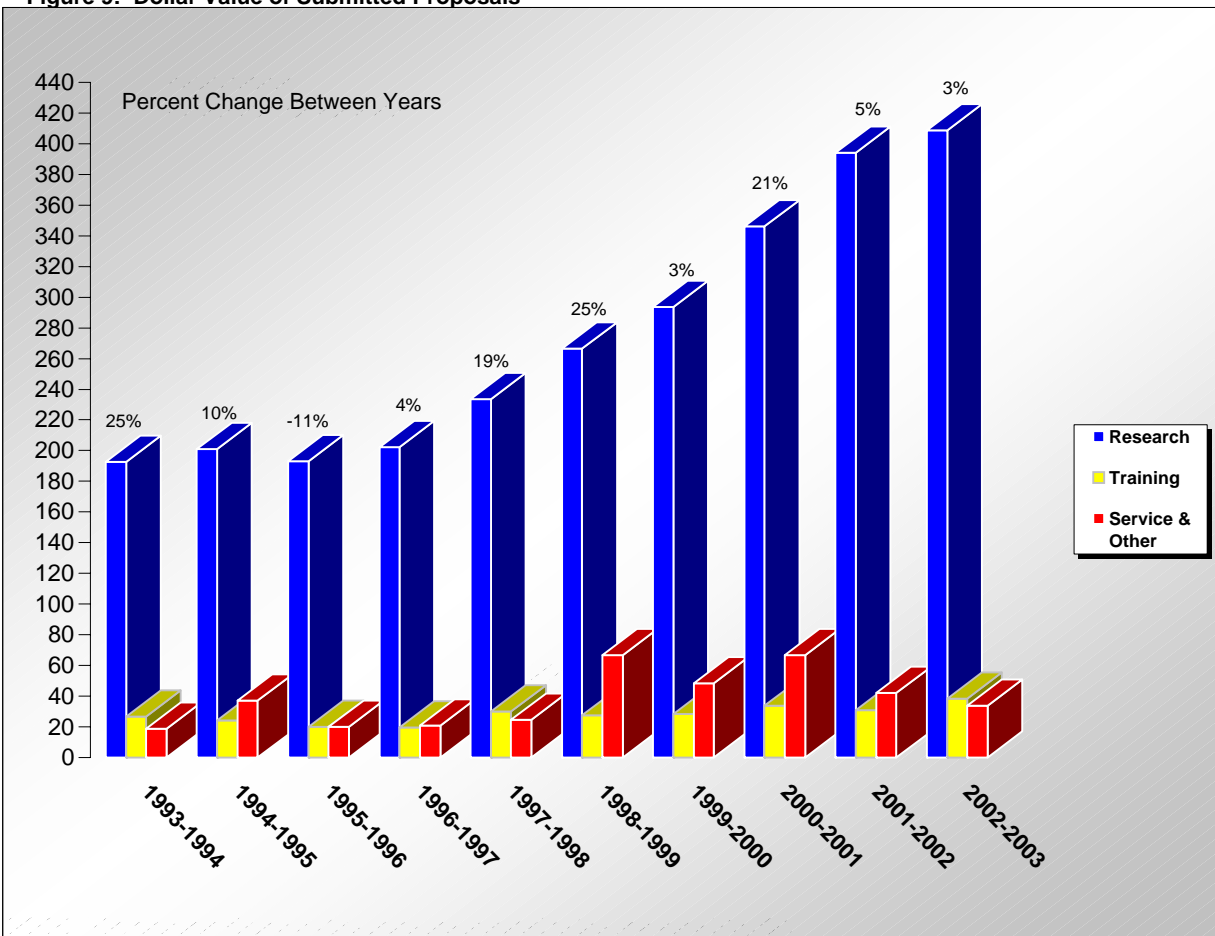


APPLICATIONS AND PROPOSALS SUBMITTED FOR SPONSORED PROGRAM FUNDING 10 YEAR COMPARISON¹⁸

Fiscal Year 2003

FISCAL YEAR	TOTAL		RESEARCH		TRAINING		SERVICE & OTHER	
	Number	Amount	Number	Amount	Number	Amount	Number	Amount
1993-1994	1,543	\$238,500,000	1,107	\$192,900,000	256	\$26,800,000	180	\$18,800,000
1994-1995	1,502	\$262,000,000	1,048	\$201,000,000	238	\$24,000,000	216	\$37,000,000
1995-1996	1,531	\$232,900,000	1,124	\$193,000,000	214	\$20,000,000	193	\$19,900,000
1996-1997	1,710	\$242,600,000	1,244	\$202,300,000	230	\$19,600,000	236	\$20,700,000
1997-1998	1,763	\$288,100,000	1,309	\$233,400,000	251	\$30,000,000	203	\$24,700,000
1998-1999	1,862	\$360,700,000	1,355	\$266,300,000	264	\$27,600,000	243	\$66,800,000
1999-2000	2,062	\$370,400,000	1,424	\$293,800,000	312	\$28,200,000	326	\$48,400,000
2000-2001	2,025	\$446,400,000	1,491	\$346,100,000	305	\$33,600,000	229	\$66,700,000
2001-2002	2,224	\$467,100,000	1,670	\$394,300,000	296	\$30,800,000	258	\$42,000,000
2002-2003	2,442	\$481,300,000	1,861	\$408,900,000	335	\$38,500,000	246	\$33,900,000

Figure 9: Dollar Value of Submitted Proposals



TOP 20 SPONSORED PROGRAM AWARDS

Principal Investigator	Title	Sponsor	Total
Richard Krugman	General Clinical Research Center - Adult	National Center for Research Resources (DHHS)	\$6,831,636
David Crawford	PLCO Screening Program	National Cancer Institute	\$4,836,000
Paul Bunn	Cancer Center Core Grant	National Cancer Institute	\$4,045,294
Richard Krugman	General Clinical Research Center - Pediatrics	National Center for Research Resources (DHHS)	\$3,321,157
Paul Bunn	Spore in Lung Cancer - Admin Extension	National Cancer Institute	\$3,068,533
George Eisenbarth	Virginia Mason/UCHSC Autoimmune Prevention Center	National Institute of Allergy and Infectious Diseases (DHHS)	\$2,549,605
Brian Kotzin	Autoimmunity Center of Excellence	National Institute of Allergy and Infectious Diseases (DHHS)	\$2,459,269
Paula Riggs	Rocky Mountain Regional Clinical Trials Node	National Institute on Drug Abuse (DHHS)	\$2,250,000
Richard Dietrich	Genetic Approaches to Neuropharmacology of Ethanol	National Institute of Alcohol Abuse & Alcoholism (DHHS)	\$2,145,773
Ivan McMurtry	Adaptations to Hypoxia	National Heart Lung and Blood Institute (DHHS)	\$2,132,204
Tom J. Brewster	Signal Behavioral Health Network Substance Abuse Treatment	Signal Behavioral Health Network	\$2,103,569
Scott Lucia	SWOG PCPT	Southwest Oncology Group	\$2,073,070
Martin Reite	Whole-Head Meg System For Brain Research	National Center for Research Resources (DHHS)	\$1,999,971
Constance A. Benson	Regional AIDS Education and Training Center	Health Resources & Services Administration	\$1,973,966
Robert Schooley	Colorado Adult Aids Clinical Trials Unit - Clinical Core	National Institute of Allergy and Infectious Diseases (DHHS)	\$1,903,131
John Kinsella	Inhaled NO for the Prevention of Chronic Lung Disease	National Heart Lung and Blood Institute (DHHS)	\$1,764,494
James Hill	Look Ahead: Action for Health in Diabetes (SHOW)	National Institute of Diabetes, Digestive and Kidney Diseases (DHHS)	\$1,758,889
Tom J. Brewster	Peer I/ Haven Denver Community Corrections	Denver County Community Corrections Board	\$1,717,655
Linda Crnic	Planning Supplement to MRDD Center Grant	National Institute of Child Health & Human Development (DHHS)	\$1,654,970
Spero Manson	Understanding & Reducing Native Elder Health Disparities	Agency for Healthcare Research & Quality	\$1,509,946

Top 20 Awards Equal 18.43% of Total Awards
Top 20 Total Award Amount \$52,099,132

Endnotes

Fiscal Year 2003

- 1 Fiscal Year (FY) 2003 spans the period July 1, 2002 through June 30, 2003. Tables, charts, and figures that span a greater period are marked accordingly.

Section 1: Awards

- 2 (a) The data presented in this section represents awards with a budget begin date from 07/01/2002 through 06/30/2003 period that were received by 08/15/2003.
 (b) The data also represents FY 2002 awards received after 08/16/2002.
 (c) The dollar amounts shown in this section are rounded to the nearest \$10,000.

Awards By Organizational Unit

- 3 Fitzsimons construction awards of \$3,752,500 are included in the amounts given for Office of the Chancellor.

Section 2: Expenditures

- 4 (a) The dollar amounts shown in this section are rounded to the nearest \$10,000.
 (b) Although award activity includes awards for Fitzsimons construction, \$8,088,700 of expenditure activity was reported in the Plant Fund and thus not included in the Restricted Fund expenditure data.

Expenditures by Sponsor

- 5 Includes federal funds that are subcontracted to UCHSC by non-federal institutions.
 6 A project is an accounting unit used to group data in the University's financial system, also called a FOPP (Fund/Org/Program or Project).
 7 Gift expenditures are not segregated by sponsor. Amounts are included so totals are consistent with majority of expenditure reports.

Sponsored Project Expenditures by Cost Category

- 8 Excludes Student Aid direct costs of \$2,253,488 (\$688,364 Federal and \$1,565,124 State), and Gifts of \$24,558,560.
 9 Includes funds, Federal in origin, that are subcontracted to UCHSC by Non-Federal institutions.

10-Year Comparison of Expenditures by Sponsored Program, Student Aid, and Gift Activity

- 10 Prior Annual Reports (beginning in 1995-1996 through 1999-2000) included the Federal Direct Student Loan (FDSL) Program in Federal Student Aid. Beginning with 2000-2001, FDSL amounts have been removed from Federal Student Aid because of a National Association of College and University Business Officers (NACUBO) reporting requirement. Data for the prior 5 years have also been adjusted to reflect comparable data across all years presented.
 11 Beginning in 1995-1996, program income (grant related) accounts were reclassified from federal to non-federal.
 12 The current fiscal year (2002-2003) includes no facilities and administrative (indirect) costs derived from student aid accounts. However, the federal recovery of facilities and administrative (indirect) cost amount for 2001-2002 includes \$8,782, which was derived from student aid accounts. Prior years include comparable adjustments.
 13 The campus financial statements consider certain expenditures with an expense purpose code of research, instruction, training, and service as student aid because the account (object) code is in the student aid series. If there are any questions about these variances, please contact the Grants and Contracts Manager of Accounting.
 14 Gifts include expenditures of \$960,000 for capital construction for research.

Expenditure by Funding Source and Type

- 15 (a) Student Aid information presented is limited to Restricted Fund dollars.
 (b) Reference page 11 for detailed information on this figure.

10-Year Comparison of Total, Federal, and Non-Federal Expenditures for Sponsored Program, Student Aid, and Gift Activity

- 16 Reference page 11 for detailed information on this figure.

Section 3: Additional Information

Applications and Proposals Submitted for Sponsored Program Funding 10-Year Comparison Fiscal Years 1994 to 2003

- 17 The amounts shown on this page are rounded to the nearest \$10,000.