

UNDERSTANDING NATIONAL RESEARCH SERVICE AWARDS (NRSA's)

PREPARED BY: GRANTS & CONTRACTS AT UCHSC

	Institutional National Research Service Awards (T awards)		Individual National Research Service Awards (F awards)	
	Pre-doctoral Trainee	Post-doctoral Trainee	Pre-doctoral Fellow (F31)	Post-doctoral Fellow (F32)
Definition	<ul style="list-style-type: none"> must have received a baccalaureate degree by the beginning date of the NRSA appointment must be training at the post-baccalaureate level and enrolled in a program leading to a Ph.D. in science or in an equivalent research doctoral degree program training must lead to a Ph.D. degree or a comparable research doctoral degree trainee positions may not be used for study leading to the M.D., D.D.S., or other clinical, health-professional degrees except when those studies are a part of a formal combined research degree program, such as the M.D./Ph.D. 	<ul style="list-style-type: none"> must have received a Ph.D., M.D. or comparable doctoral degree from an accredited domestic or foreign institution by the start of the NRSA appointment training must emphasize specialized training to meet national research priorities in the biomedical and behavioral sciences trainees may not accept NRSA support for studies which are part of residency training leading to certification in a medical or dental specialty or subspecialty, except when the residency program credits a period of full-time, postdoctoral research training toward board certification and the trainee intends to pursue a research career 	<ul style="list-style-type: none"> must have received a baccalaureate degree by the beginning date of the NRSA appointment must be training at the post-baccalaureate level and enrolled in a program leading to Doctor of Philosophy of Science (Ph.D. or Sc.D.) or a combined clinical degree and Ph.D. degree such as M.D./Ph.D. award must be used to support a program of research training. It may not support studies leading to the M.D., D.O., D.D.S., D.V.M., or other clinical, health professional degrees; nor to support residencies, the primary purpose of which is the attainment of a medical or nursing specialty trainees in clinical areas are expected to devote full time to the proposed research training and to confine clinical duties to those which are part of the research training 	<ul style="list-style-type: none"> before award can be activated individuals must have received a Ph.D., M.D., D.O., D.D.S., D.V.M., O.D., D.P.M., Sc.D., D.Eng., D.N.S., or equivalent doctoral degree from an accredited domestic or foreign institution award must be used to support a program of research training; and may not be used to support studies leading to the M.D., D.O., D.D.S., D.V.M., or other clinical, health professional degrees, nor to support residencies, the primary purpose of which is the attainment of a medical or nursing specialty trainees in clinical areas are expected to devote full time to the proposed research training and to confine clinical duties to those which are part of the research training
Trainee/Fellow Eligibility	<ul style="list-style-type: none"> must devote at least 40 hours per week to the program or as specified by the sponsoring institution in accordance with its own policies must be an U.S. citizen, non-citizen national, or must have been lawfully admitted for permanent residence. Individuals on temporary or student visas are not eligible must have received a baccalaureate degree by the beginning date of the NRSA appointment 	<ul style="list-style-type: none"> must devote at least 40 hours per week to the program or as specified by the sponsoring institution in accordance with its own policies must be an U.S. citizen, non-citizen national, or must have been lawfully admitted for permanent residence. Individuals on temporary or student visas are not eligible must have received a Ph.D., M.D. or comparable doctoral degree from an accredited domestic or foreign institution 	<ul style="list-style-type: none"> must devote at least 40 hours per week to the program or as specified by the sponsoring institution in accordance with its own policies must be an U.S. citizen, non-citizen national, or must have been lawfully admitted for permanent residence. Individuals on temporary or student visas are not eligible must have received a baccalaureate degree by the beginning date of the NRSA appointment 	<ul style="list-style-type: none"> must devote at least 40 hours per week to the program or as specified by the sponsoring institution in accordance with its own policies must be an U.S. citizen, non-citizen national, or must have been lawfully admitted for permanent residence. Individuals on temporary or student visas are not eligible must have received a Ph.D., M.D. or comparable doctoral degree from an accredited domestic or foreign institution

	<ul style="list-style-type: none"> must be training at the post-baccalaureate level and enrolled in a program leading to a Ph.D. in science or in an equivalent research doctoral degree program 	<p>by the start of the NRSA appointment</p>	<ul style="list-style-type: none"> must be training at the post-baccalaureate level and enrolled in a program leading to a Ph.D. in science or in an equivalent research doctoral degree program 	<p>by the start of the NRSA appointment</p>																																				
<p>Stipend Amounts (FY 2006)</p> <p>NOTE: the stipend amounts listed are for NRSA awards made on or after October 1, 2005.</p> <p>Stipend amounts are subject to change annually. Please check the NIH Guide (http://grants.nih.gov/grants/guide/index.html) search on key terms "stipend increases") for the most up-to-date stipend rates</p>	<ul style="list-style-type: none"> no individual trainee may receive more than five (5) years of aggregate NRSA support at the pre-doctoral level and three (3) years of aggregate NRSA support at the postdoctoral level, including any combination of NRSA support from institutional and individual awards trainees are customarily appointed for full-time 12-month continuous periods. With the exception of specifically designated short-term research training positions, no trainee may be appointed under a regular institutional grant for a period of less than nine (9) months except with the prior written approval of the awarding component <p>\$20,772 (FY06)</p>	<ul style="list-style-type: none"> no individual trainee may receive more than five (5) years of aggregate NRSA support at the pre-doctoral level and three (3) years of aggregate NRSA support at the postdoctoral level, including any combination of NRSA support from institutional and individual awards trainees are customarily appointed for full-time 12-month continuous periods. With the exception of specifically designated short-term research training positions, no trainee may be appointed under a regular institutional grant for a period of less than nine (9) months except with the prior written approval of the awarding component <table border="1"> <thead> <tr> <th>Years of Experience*</th> <th>Stipend Amount (FY06)</th> </tr> </thead> <tbody> <tr><td>0</td><td>\$36,996</td></tr> <tr><td>1</td><td>\$38,976</td></tr> <tr><td>2</td><td>\$41,796</td></tr> <tr><td>3</td><td>\$43,428</td></tr> <tr><td>4</td><td>\$45,048</td></tr> <tr><td>5</td><td>\$46,992</td></tr> <tr><td>6</td><td>\$48,852</td></tr> <tr><td>7 or more</td><td>\$51,036</td></tr> </tbody> </table>	Years of Experience*	Stipend Amount (FY06)	0	\$36,996	1	\$38,976	2	\$41,796	3	\$43,428	4	\$45,048	5	\$46,992	6	\$48,852	7 or more	\$51,036	<ul style="list-style-type: none"> no individual fellow may receive more than five (5) years of aggregate NRSA support at the pre-doctoral level and three (3) years of aggregate NRSA support at the postdoctoral level, including any combination of NRSA support from institutional and individual awards <p>\$20,772 (FY06)</p>	<ul style="list-style-type: none"> no individual fellow may receive more than five (5) years of aggregate NRSA support at the pre-doctoral level and three (3) years of aggregate NRSA support at the postdoctoral level, including any combination of NRSA support from institutional and individual awards <table border="1"> <thead> <tr> <th>Years of Experience</th> <th>Stipend Amount (FY06)</th> </tr> </thead> <tbody> <tr><td>0</td><td>\$36,996</td></tr> <tr><td>1</td><td>\$38,976</td></tr> <tr><td>2</td><td>\$41,796</td></tr> <tr><td>3</td><td>\$43,428</td></tr> <tr><td>4</td><td>\$45,048</td></tr> <tr><td>5</td><td>\$46,992</td></tr> <tr><td>6</td><td>\$48,852</td></tr> <tr><td>7 or more</td><td>\$51,036</td></tr> </tbody> </table>	Years of Experience	Stipend Amount (FY06)	0	\$36,996	1	\$38,976	2	\$41,796	3	\$43,428	4	\$45,048	5	\$46,992	6	\$48,852	7 or more	\$51,036
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***The NIH and the Graduate Medical Education (GME) Office refer to experience differently. For NIH stipend amounts, the number of relative years is based on Postdoc levels starting with Level 1. For NIH/NRSA Postdoctoral fellows and trainees, years of experience are based on the amount of relevant postdoctoral experience¹ accrued. If a trainee is also a GME resident, GME refers to the experience as Post Graduate Year (PGY) training, beginning with Year 0. Appropriate stipend amounts charged to NIH/NRSA awards should be determined using NIH's definition of post graduate years, not GME's. In many cases, the stipend amount allowed on the grant will most likely be less than the amount the resident is making based on his/her GME level and the stipend set by GME for that level.**

¹Relevant postdoctoral experience may include research experience (including industrial), teaching assistantship, internship, residency, clinical duties, or other time spent in a health-related field beyond that of the qualifying doctoral degree. NOTE – 12 months of relevant experience does not necessarily take place consecutively. Interruptions in relevant experience could result in an

<p>Stipends (cont.)</p>	<ul style="list-style-type: none"> ▪ NRSA stipends are not considered salaries. Fellows supported under the NRSA are not considered to be in an employee-employer relationship with the NIH or the sponsoring institution ▪ the stipend is provided to help trainees defray living expenses during the research training experience ▪ no departure from the established stipend schedule may be negotiated 	<ul style="list-style-type: none"> ▪ NRSA stipends are not considered salaries. Fellows supported under the NRSA are not considered to be in an employee-employer relationship with the NIH or the sponsoring institution ▪ the stipend is provided to help trainees defray living expenses during the research training experience ▪ no departure from the established stipend schedule may be negotiated 	<ul style="list-style-type: none"> ▪ NRSA stipends are not considered salaries. Fellows supported under the NRSA are not considered to be in an employee-employer relationship with the NIH or the sponsoring institution ▪ the stipend is provided to help trainees defray living expenses during the research training experience ▪ no departure from the established stipend schedule may be negotiated 	<ul style="list-style-type: none"> ▪ NRSA stipends are not considered salaries. Fellows supported under the NRSA are not considered to be in an employee-employer relationship with the NIH or the sponsoring institution ▪ the stipend is provided to help trainees defray living expenses during the research training experience ▪ no departure from the established stipend schedule may be negotiated
<p>Supplementation</p>	<ul style="list-style-type: none"> ▪ Stipend supplementation <ul style="list-style-type: none"> ▪ may be provided by the grantee institution ▪ cannot require any additional effort from the trainee ▪ federal funds may not be used unless specifically authorized under the terms of both the program from which such supplemental funds are to be received and the program whose funds are to be supplemented ▪ under no circumstances may DHHS funds be used ▪ supplementation policies must be consistently applied to all individuals in a similar status regardless of the source of funds 	<ul style="list-style-type: none"> ▪ Stipend supplementation <ul style="list-style-type: none"> ▪ may be provided by the grantee institution ▪ cannot require any additional effort from the trainee ▪ federal funds may not be used unless specifically authorized under the terms of both the program from which such supplemental funds are to be received and the program whose funds are to be supplemented ▪ under no circumstances may DHHS funds be used ▪ supplementation policies must be consistently applied to all individuals in a similar status regardless of the source of funds 	<ul style="list-style-type: none"> ▪ Stipend supplementation <ul style="list-style-type: none"> ▪ may be provided by the grantee institution ▪ cannot require any additional effort from the trainee ▪ federal funds may not be used unless specifically authorized under the terms of both the program from which such supplemental funds are to be received and the program whose funds are to be supplemented ▪ under no circumstances may DHHS funds be used ▪ supplementation policies must be consistently applied to all individuals in a similar status regardless of the source of funds 	<ul style="list-style-type: none"> ▪ Stipend supplementation <ul style="list-style-type: none"> ▪ may be provided by the grantee institution ▪ cannot require any additional effort from the trainee ▪ federal funds may not be used unless specifically authorized under the terms of both the program from which such supplemental funds are to be received and the program whose funds are to be supplemented ▪ under no circumstances may DHHS funds be used ▪ supplementation policies must be consistently applied to all individuals in a similar status regardless of the source of funds
<p>Compensation</p>	<ul style="list-style-type: none"> ▪ Additional Compensation: <ul style="list-style-type: none"> ▪ may be provided by the grantee institution in the form of compensation (as salary and/or tuition remission) for services such as teaching or serving as a research assistant ▪ may receive compensation from Federal research grants, including DHHS funded grants 	<ul style="list-style-type: none"> ▪ Additional Compensation: <ul style="list-style-type: none"> ▪ may be provided by the grantee institution in the form of compensation (as salary and/or tuition remission) for services such as teaching or serving as a research assistant ▪ may receive compensation from Federal research grants, including DHHS funded grants 	<ul style="list-style-type: none"> ▪ Additional Compensation: <ul style="list-style-type: none"> ▪ may be provided by the grantee institution in the form of compensation (as salary and/or tuition remission) for services such as teaching or serving as a research assistant ▪ may receive compensation from Federal research grants, including DHHS funded grants 	<ul style="list-style-type: none"> ▪ Additional Compensation: <ul style="list-style-type: none"> ▪ may be provided by the grantee institution in the form of compensation (as salary and/or tuition remission) for services such as teaching or serving as a research assistant ▪ may receive compensation from Federal research grants, including DHHS funded grants

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<p>Benefits</p>	<ul style="list-style-type: none"> ▪ Since NRSA awards are not provided as a condition of employment with either the Federal government or the sponsoring institution, it is inappropriate and unallowable for institutions to seek funds for or to charge individual fellowship awards for costs that would normally be associated with employee benefits (e.g. FICA, workman's compensation, and unemployment insurance). 	<ul style="list-style-type: none"> ▪ Since NRSA awards are not provided as a condition of employment with either the Federal government or the sponsoring institution, it is inappropriate and unallowable for institutions to seek funds for or to charge individual fellowship awards for costs that would normally be associated with employee benefits (e.g. FICA, workman's compensation, and unemployment insurance). 	<ul style="list-style-type: none"> ▪ Since NRSA awards are not provided as a condition of employment with either the Federal government or the sponsoring institution, it is inappropriate and unallowable for institutions to seek funds for or to charge individual fellowship awards for costs that would normally be associated with employee benefits (e.g. FICA, workman's compensation, and unemployment insurance). 	<ul style="list-style-type: none"> ▪ Since NRSA awards are not provided as a condition of employment with either the Federal government or the sponsoring institution, it is inappropriate and unallowable for institutions to seek funds for or to charge individual fellowship awards for costs that would normally be associated with employee benefits (e.g. FICA, workman's compensation, and unemployment insurance).
<p>Leave</p>	<ul style="list-style-type: none"> ▪ leave: trainees may receive stipends during periods of vacation and holidays observed by individuals in comparable training positions at the grantee institution ▪ the period between spring and fall semesters is considered to be an active time of research and research training and is not considered to be a vacation or holiday ▪ may receive stipends for up to 15 calendar days of sick leave per year ▪ may receive stipends for up to 30 calendar days of parental leave per year when those in comparable training 	<ul style="list-style-type: none"> ▪ leave: trainees may receive stipends during periods of vacation and holidays observed by individuals in comparable training positions at the grantee institution ▪ the period between spring and fall semesters is considered to be an active time of research and research training and is not considered to be a vacation or holiday ▪ may receive stipends for up to 15 calendar days of sick leave per year ▪ may receive stipends for up to 30 calendar days of parental leave per year when those in comparable training 	<ul style="list-style-type: none"> ▪ leave: trainees may receive stipends during periods of vacation and holidays observed by individuals in comparable training positions at the grantee institution ▪ the period between spring and fall semesters is considered to be an active time of research and research training and is not considered to be a vacation or holiday ▪ may receive stipends for up to 15 calendar days of sick leave per year ▪ may receive stipends for up to 30 calendar days of parental leave per year when those in comparable training 	<ul style="list-style-type: none"> ▪ leave: trainees may receive stipends during periods of vacation and holidays observed by individuals in comparable training positions at the grantee institution ▪ the period between spring and fall semesters is considered to be an active time of research and research training and is not considered to be a vacation or holiday ▪ may receive stipends for up to 15 calendar days of sick leave per year ▪ may receive stipends for up to 30 calendar days of parental leave per year when those in comparable training

<p>Tuition & Fees</p>	<p>positions at the grantee institution have access to paid leave for this purpose</p> <ul style="list-style-type: none"> a period of terminal leave is not permitted payment may not be made from grant funds for leave not taken tuition and fees are an allowable trainee cost <i>only</i> if such charges are required of all individuals in a similar training status at the institution, regardless of their source of support 	<p>positions at the grantee institution have access to paid leave for this purpose</p> <ul style="list-style-type: none"> a period of terminal leave is not permitted payment may not be made from grant funds for leave not taken tuition and fees are an allowable trainee cost <i>only</i> if such charges are required of all individuals in a similar training status at the institution, regardless of their source of support 	<p>positions at the grantee institution have access to paid leave for this purpose</p> <ul style="list-style-type: none"> a period of terminal leave is not permitted payment may not be made from grant funds for leave not taken tuition and fees are an allowable trainee cost <i>only</i> if such charges are required of all individuals in a similar training status at the institution, regardless of their source of support 	<p>positions at the grantee institution have access to paid leave for this purpose</p> <ul style="list-style-type: none"> a period of terminal leave is not permitted payment may not be made from grant funds for leave not taken tuition and fees are an allowable trainee cost <i>only</i> if such charges are required of all individuals in a similar training status at the institution, regardless of their source of support
<p>Health Insurance</p>	<ul style="list-style-type: none"> health insurance (self-only and family health insurance) is allowable <i>only</i> if such charges are required of all individuals in a similar training status at the institution, regardless of their source of support funds for tuition, fees, and health insurance are awarded based on the following formula: NIH will pay 100% of the first \$3,000 and 60% of any amount above \$3,000 per trainee 	<ul style="list-style-type: none"> health insurance (self-only and family health insurance) is allowable <i>only</i> if such charges are required of all individuals in a similar training status at the institution, regardless of their source of support funds for tuition, fees, and health insurance are awarded based on the following formula: NIH will pay 100% of the first \$3,000 and 60% of any amount above \$3,000 per trainee 	<ul style="list-style-type: none"> health insurance (self-only and family health insurance) is allowable <i>only</i> if such charges are required of all individuals in a similar training status at the institution, regardless of their source of support 	<ul style="list-style-type: none"> health insurance (self-only and family health insurance) is allowable <i>only</i> if such charges are required of all individuals in a similar training status at the institution, regardless of their source of support
<p>Institutional Allowance</p>	<p>\$2,200/per trainee for FY2006</p> <ul style="list-style-type: none"> provided to defray such training costs as staff salaries, consultant costs, equipment, research supplies, staff travel, and other expenses directly related to the training program 	<p>\$3,850/trainee for FY2006</p> <ul style="list-style-type: none"> provided to defray such training costs as staff salaries, consultant costs, equipment, research supplies, staff travel, and other expenses directly related to the training program 	<ul style="list-style-type: none"> \$2,750 for FY2006 provided to help support the costs of training can be used to help defray expenses associated with research supplies, equipment, travel to scientific meetings and other training related items can also be used to pay for health insurance <i>only</i> if health insurance is 	<ul style="list-style-type: none"> \$7,000 for FY2006 provided to help support the costs of training can be used to help defray expenses associated with research supplies, equipment, travel to scientific meetings and other training related items can also be used to pay for health insurance <i>only</i> if health insurance is

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